

Integrity Committee Overview

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General Counsel
CIGIE



COUNCIL OF THE INSPECTORS GENERAL
ON INTEGRITY AND EFFICIENCY
INTEGRITY COMMITTEE

Integrity Committee Members

Four Inspectors General



Kevin H. Winters, Amtrak Thomas A. Monheim, ICIG



Kimberly A. Howell, CPB Gail S. Ennis, SSA

FBI Representative



Catherine S. Bruno
Asst. Director of Integrity &
Compliance

OGE Representative



Dale A. Christopher
Deputy Director for Compliance

“To receive, review, and refer for investigation allegations of wrongdoing that are made against Inspectors General and staff members of the various Offices of Inspector General.”

5 U.S.C. §424(d)

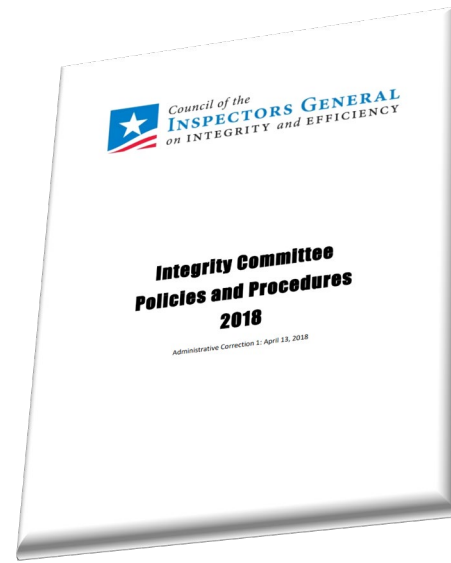
The image shows the "Table of Contents" page of the document. The title is "Policies and Procedures of the Integrity Committee of the Council of the Inspectors General on Integrity and Efficiency" with the date "January 2018" in the top right corner. The table lists various sections and their corresponding page numbers:

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Integrity Committee Review Process

For the IC to act on a complaint, the allegations must both:

- Concern a person subject to the IC's authority ("**Covered Person**") and
- Allege **Wrongdoing** as defined by the IC





Covered Persons

- Approximately 500
 - Inspector General
 - Inspector General's direct reports
 - Staff members designated by IG
 - Special Counsel and Principal Deputy Special Counsel
 - Anyone Acting or Interim in the above roles
 - Legislative Branch OIG investigators
- Designated Positions / Covered Person Table:
<https://www.ignet.gov/sites/default/files/files/Agency-FTE-DesignationsFY23.pdf>
- If the allegation does not involve misconduct by a Covered Person, the IC cannot act on it; please consider submitting your allegation to the appropriate agency of jurisdiction.

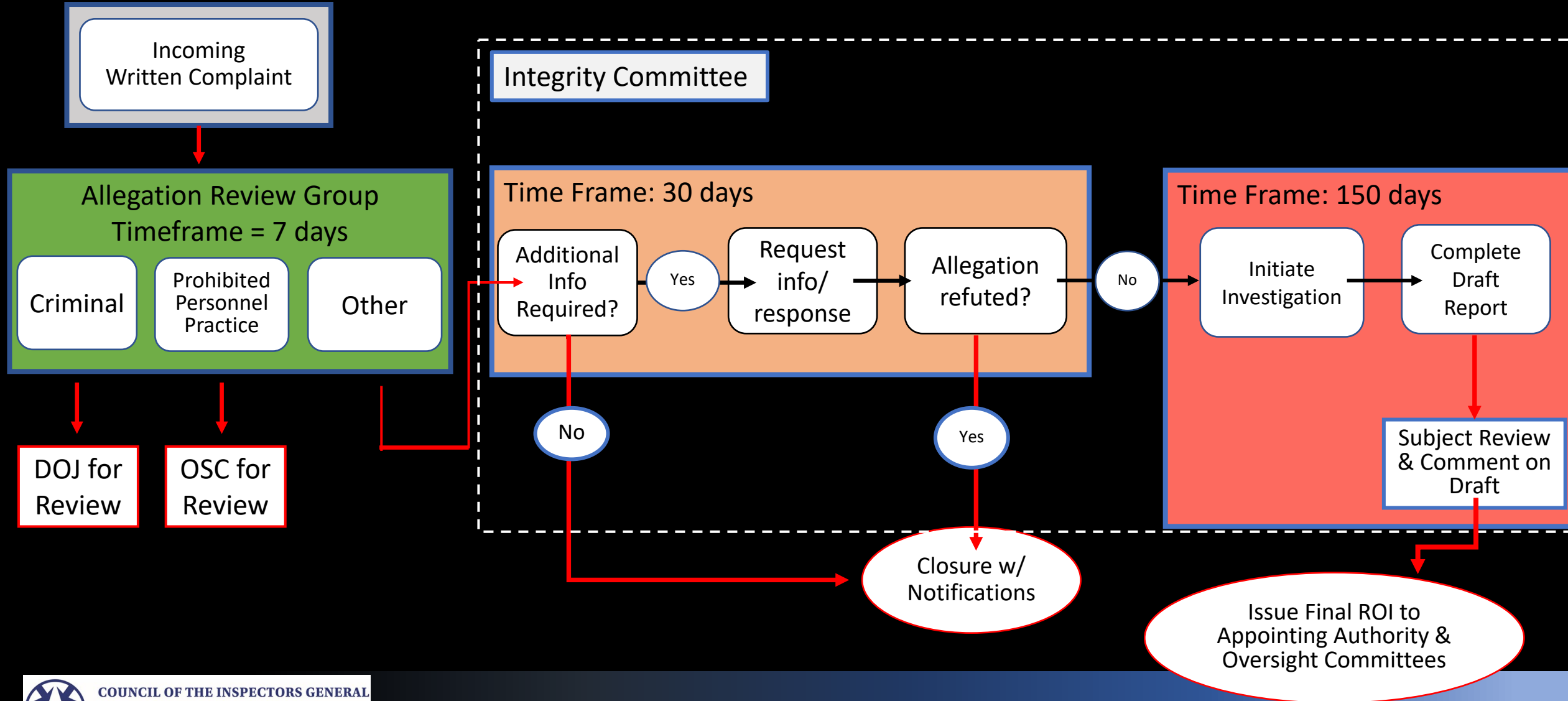


Wrongdoing

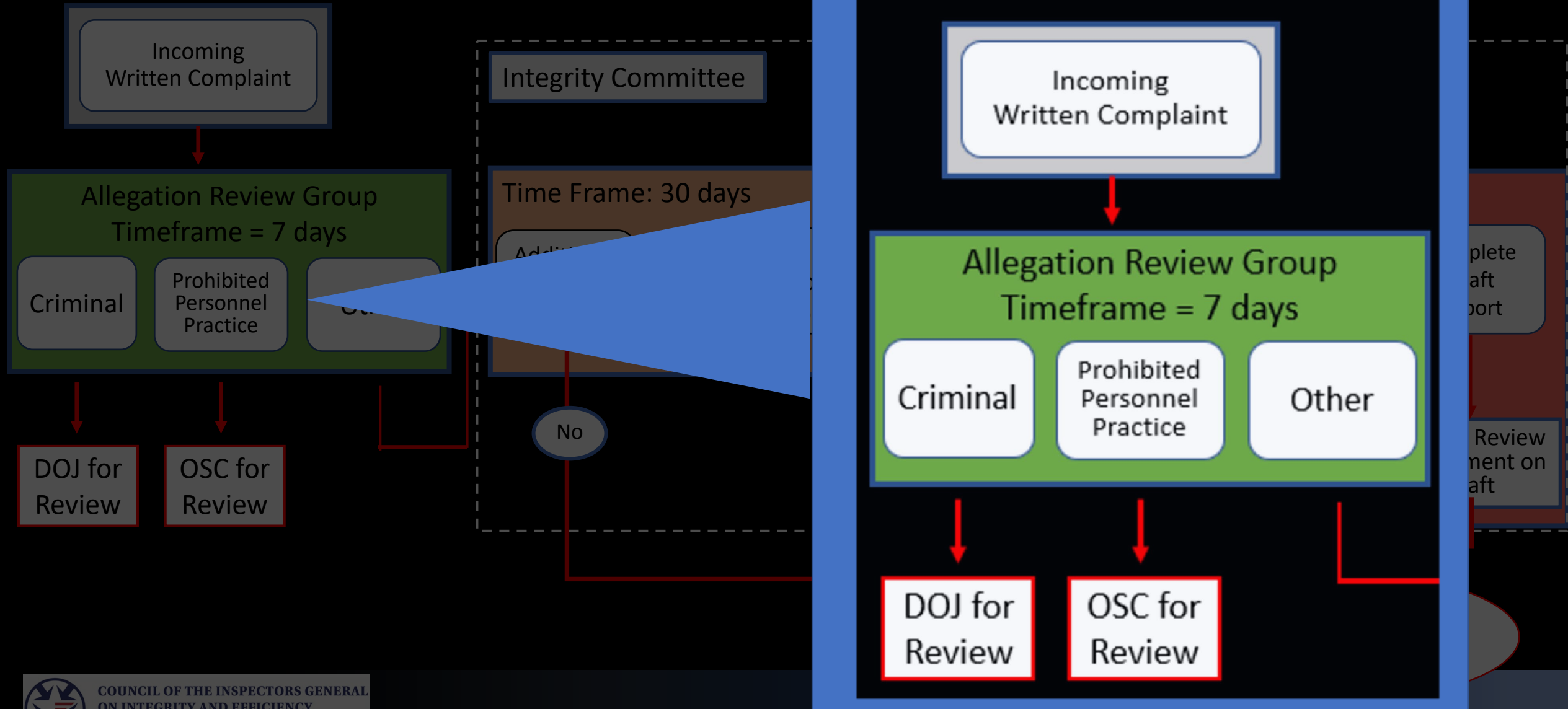
- Abuse of authority
- Substantial misconduct (e.g., gross mismanagement, gross waste of funds, or a substantial violation of law, rule, or regulation)
- Conduct that undermines the independence or integrity reasonably expected of the position



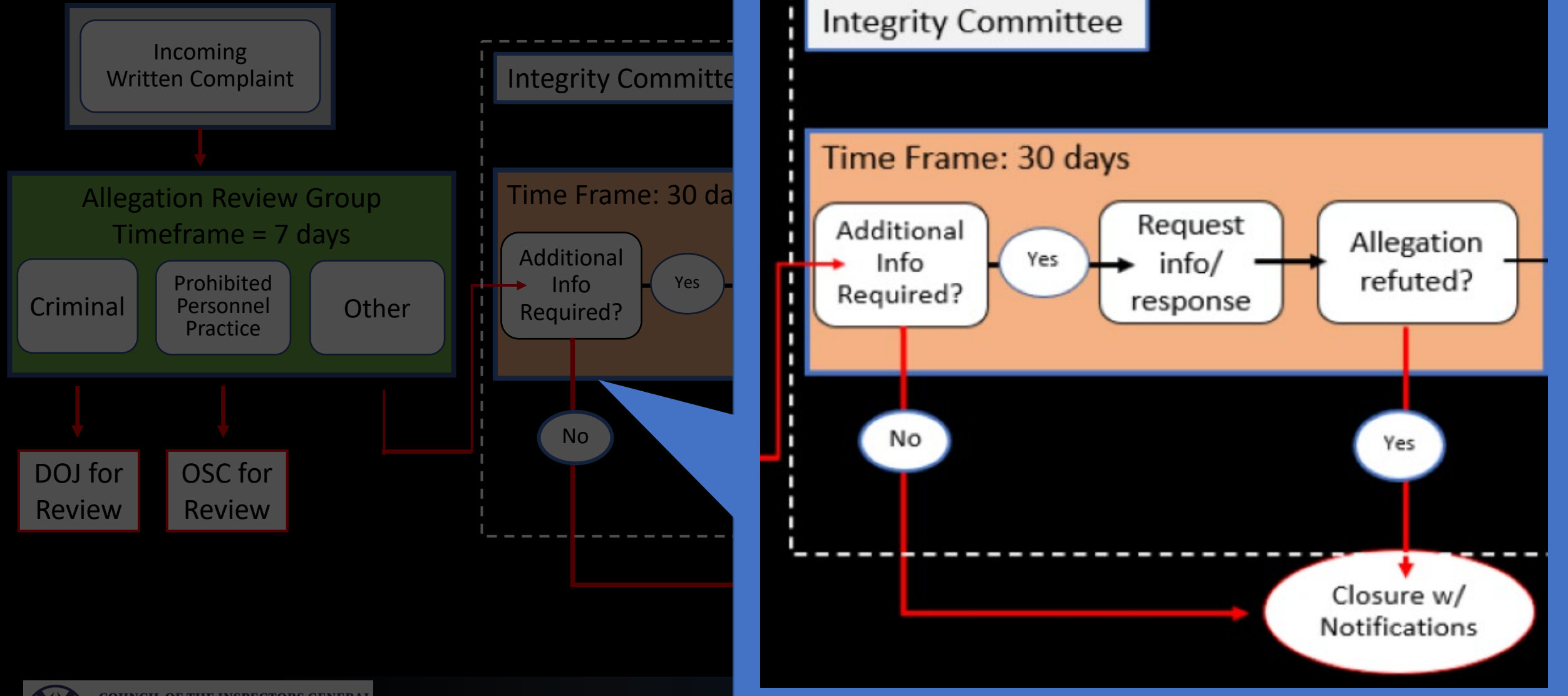
Overview of the Integrity Committee Process



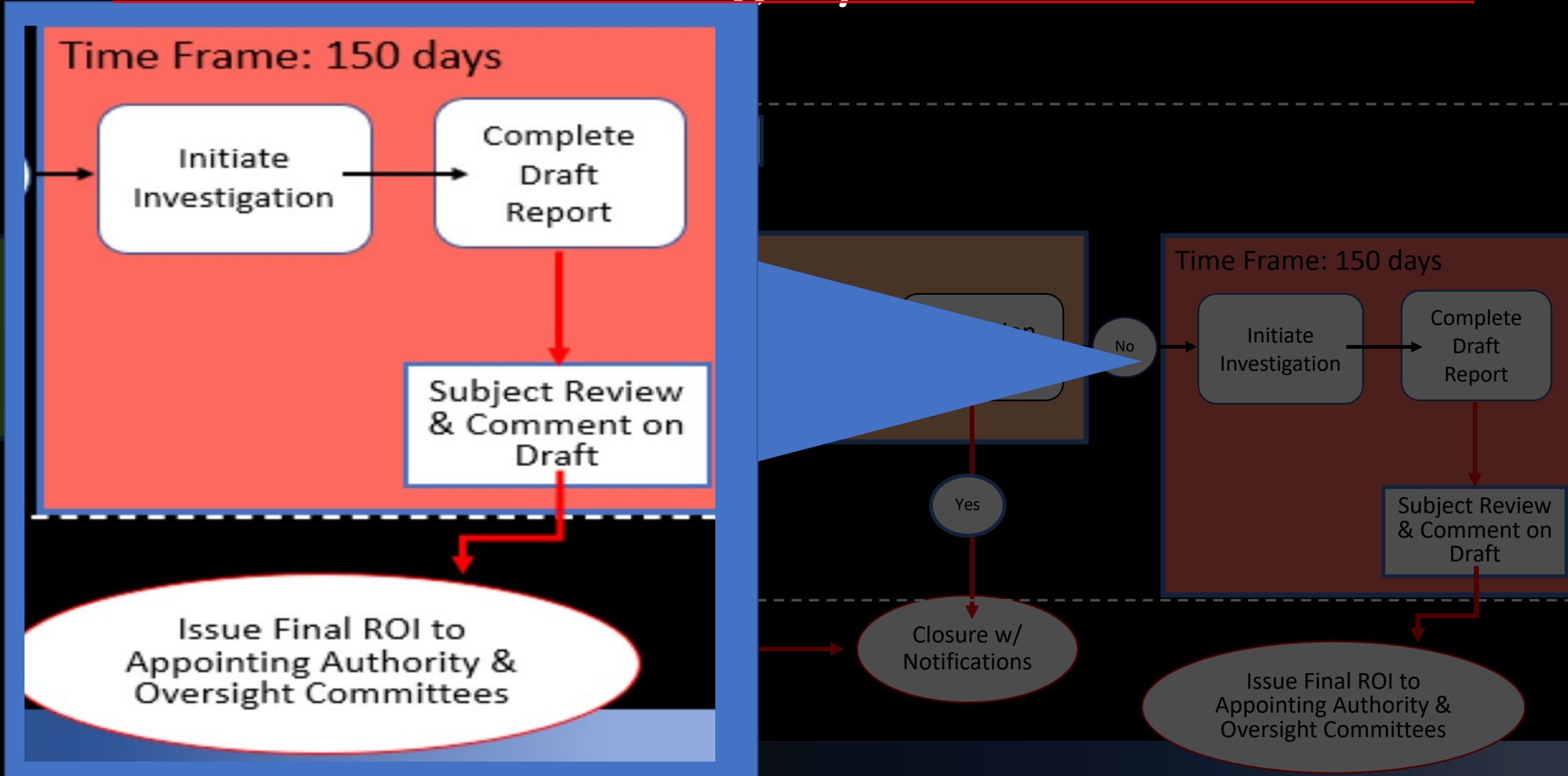
Overview of the Integrity Committee Process



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Overview of the Integrity Committee Process



Filing a Complaint with the IC

How do I submit my complaint?

DO NOT CONTACT ANY MEMBER OF THE IC DIRECTLY.
Please send your complaint and supporting documents to:

Integrity-Complaint@cigie.gov

What information should I include in my complaint?

- Clear, direct, and organized statement of factual allegations.
- Full name and job title of the covered person against whom you are making the complaint.
- Vague or conclusory statements are not as helpful to the IC and generally are not sufficient to warrant an investigation.



Filing a Complaint with the IC (cont.)

Will my identity be protected?

Yes. The IC will not disclose the identity of complainants and witnesses without their consent, unless the IC Chairperson determines such disclosure is unavoidable.

What correspondence should I expect from the IC?

- Confirmation that your complaint was received.
- Possibly a request for additional information needed by the IC.
- Notification of the final disposition of your complaint.
 - Note: If you have not received a notification of final disposition, your complaint is still pending with the IC. Complainants may contact the IC Working Group to confirm this status.



Filing a Complaint with the IC (cont.)

What if my complaint involves the office of an IC member / When must an IC member recuse?

Recusal is required in all matters before the IC in which:

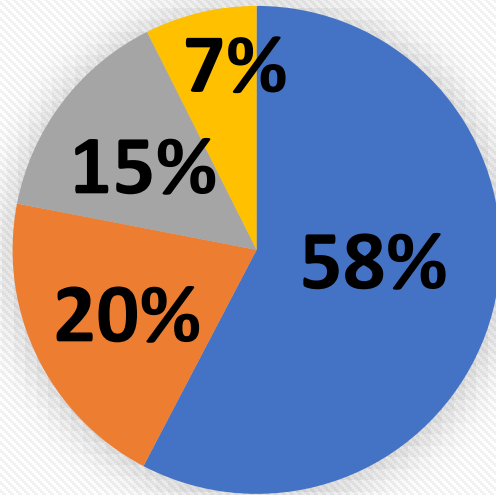
- A member or another person in that member's office/agency has personally and substantially participated;
- A member believes that their impartiality would be questioned by a reasonable person with knowledge of the relevant facts; or
- Participation by a member would violate or create a conflict of interest.

Additionally, a member is recused from all matters before the IC while that member knows that he or she is under criminal investigation or IC investigation.



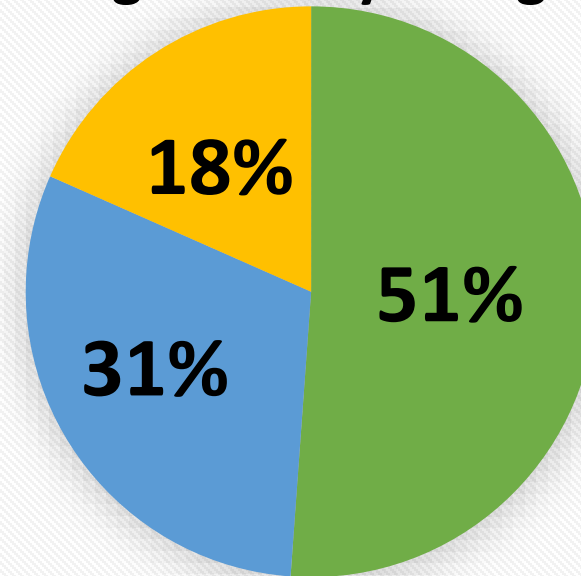
IC Data FY19 – FY22

Allegations by Position



- Inspector General (incl. Acting and former)
- Deputy IG or Counsel to IG
- Assistant IG
- Other Positions

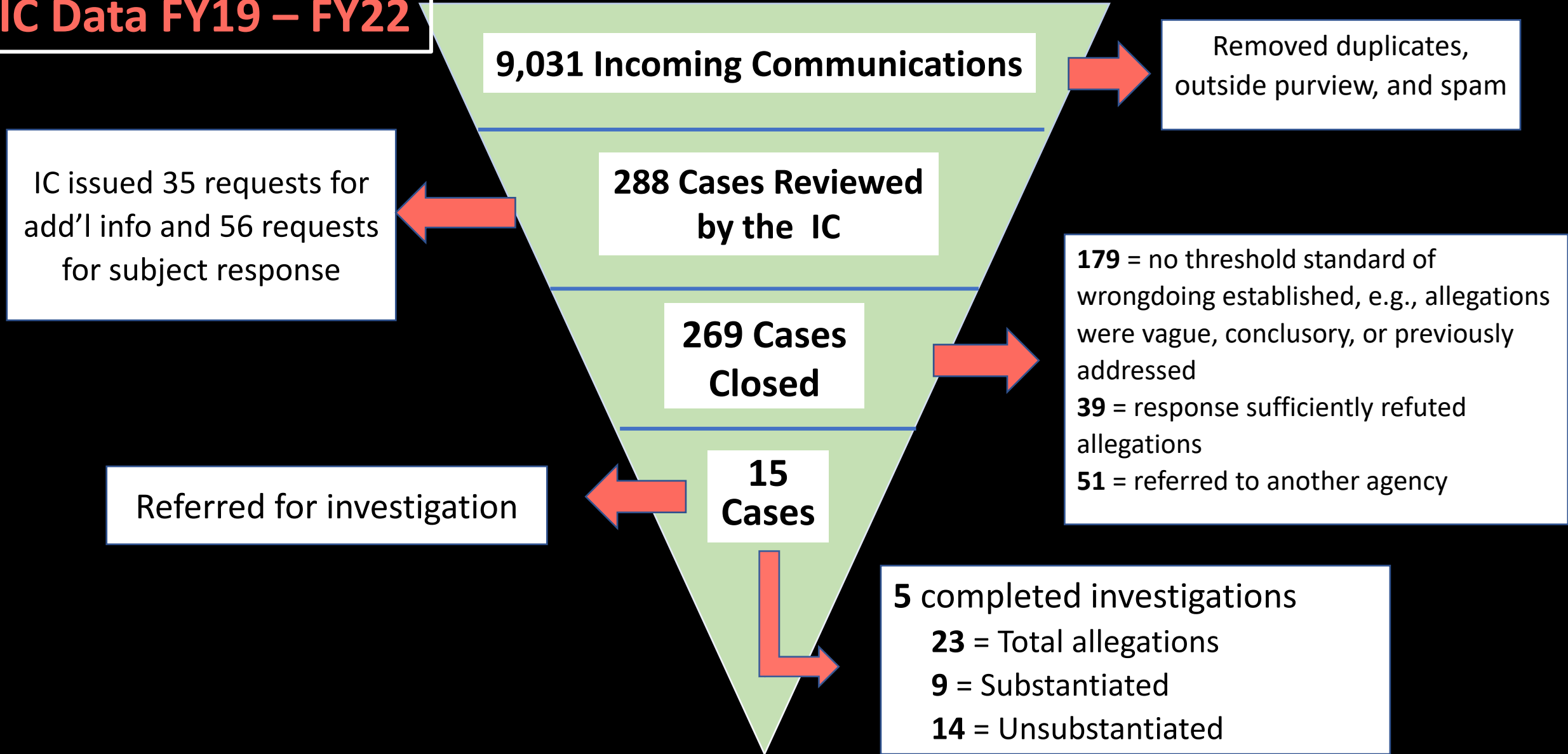
Allegations by Category



- Abuse of Authority
- Substantial Misconduct
- Conduct Undermining Integrity or Independence



IC Data FY19 – FY22



How do I file / refer a complaint?

Integrity-Complaint@cigie.gov

or

Attn: Integrity Committee

CIGIE

1750 H St., NW, Suite 400

Washington, DC 20006

What if I have a question?

Integrity-WG@cigie.gov