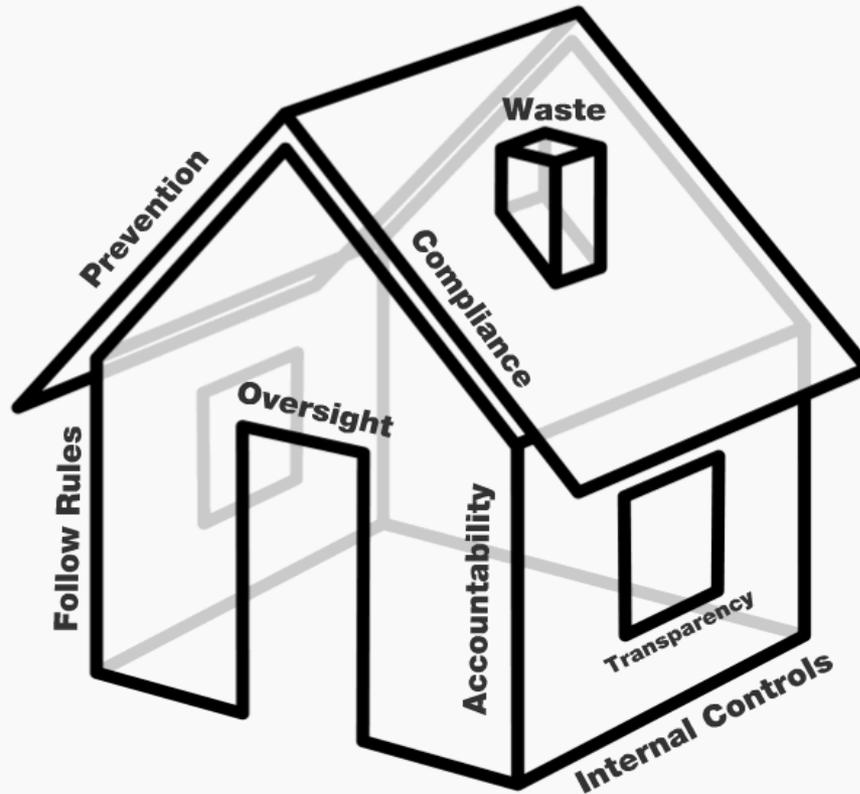
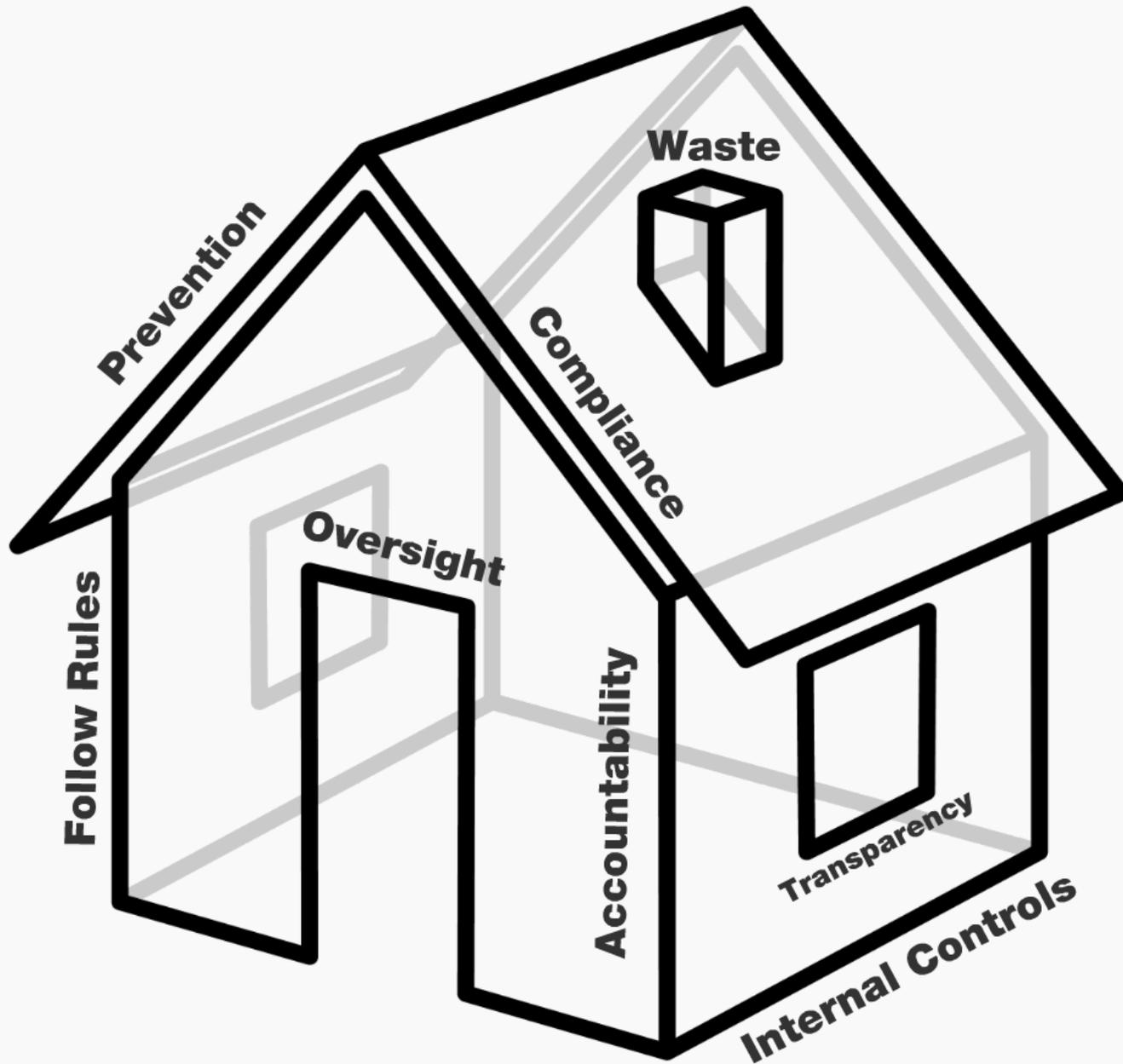


# Ensuring That Your Financial House Is In Order: An OIG Perspective

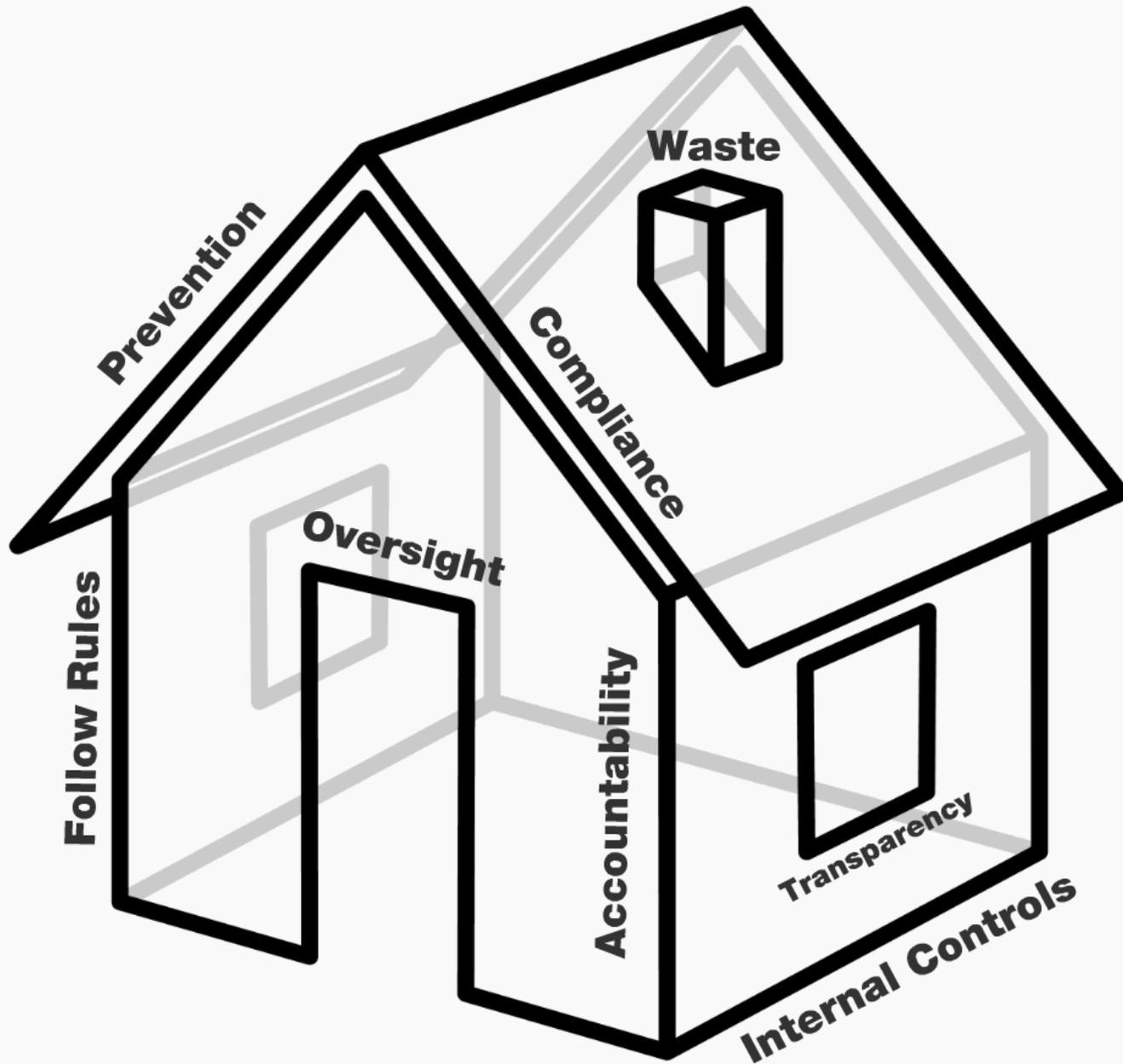




## **Internal Controls**

- **Foundation of systems**
- **Integrity of operations**
- **Examples: Recovery Act Reviews  
Internal Control Reports**

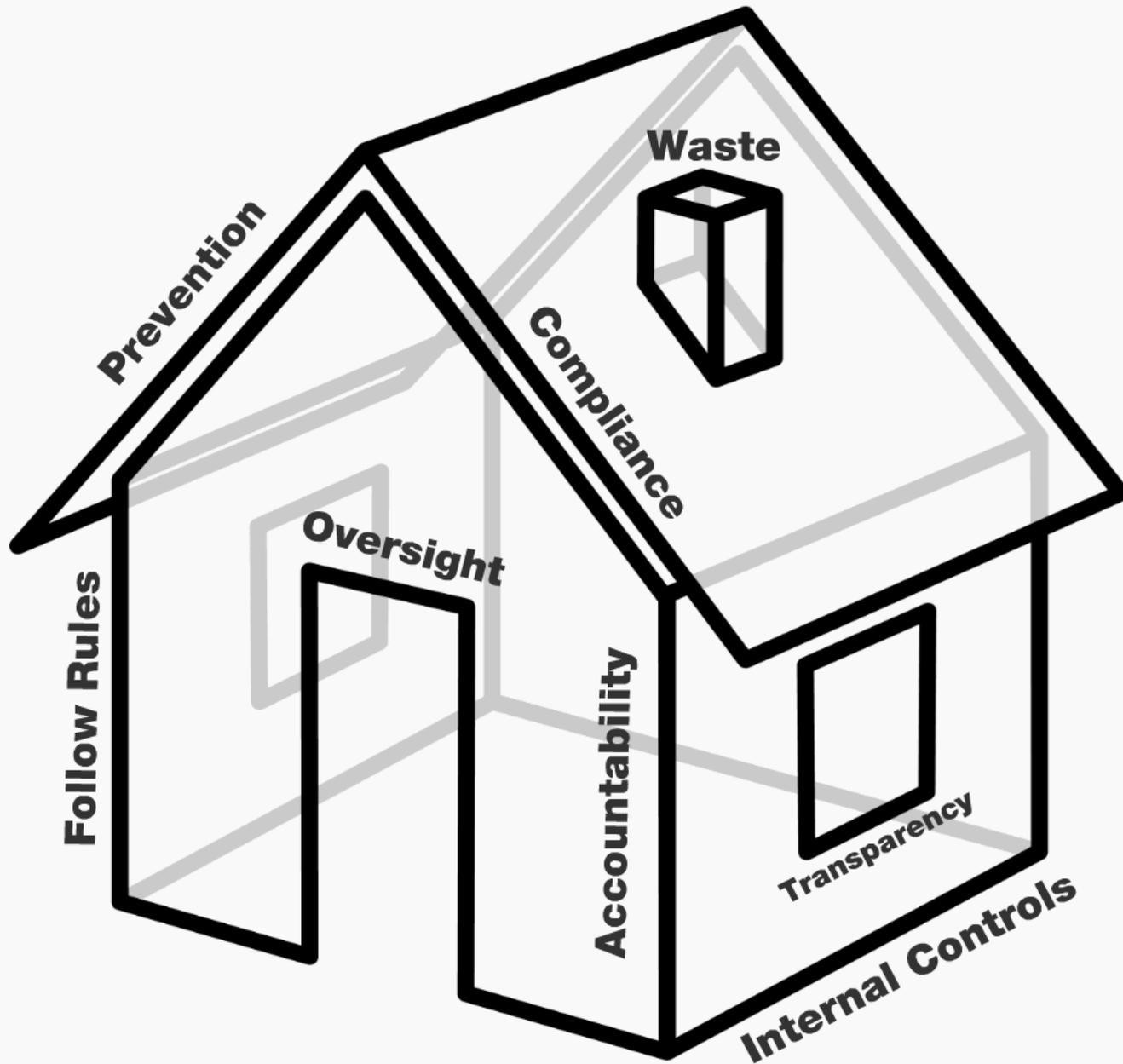




# **Follow Rules**

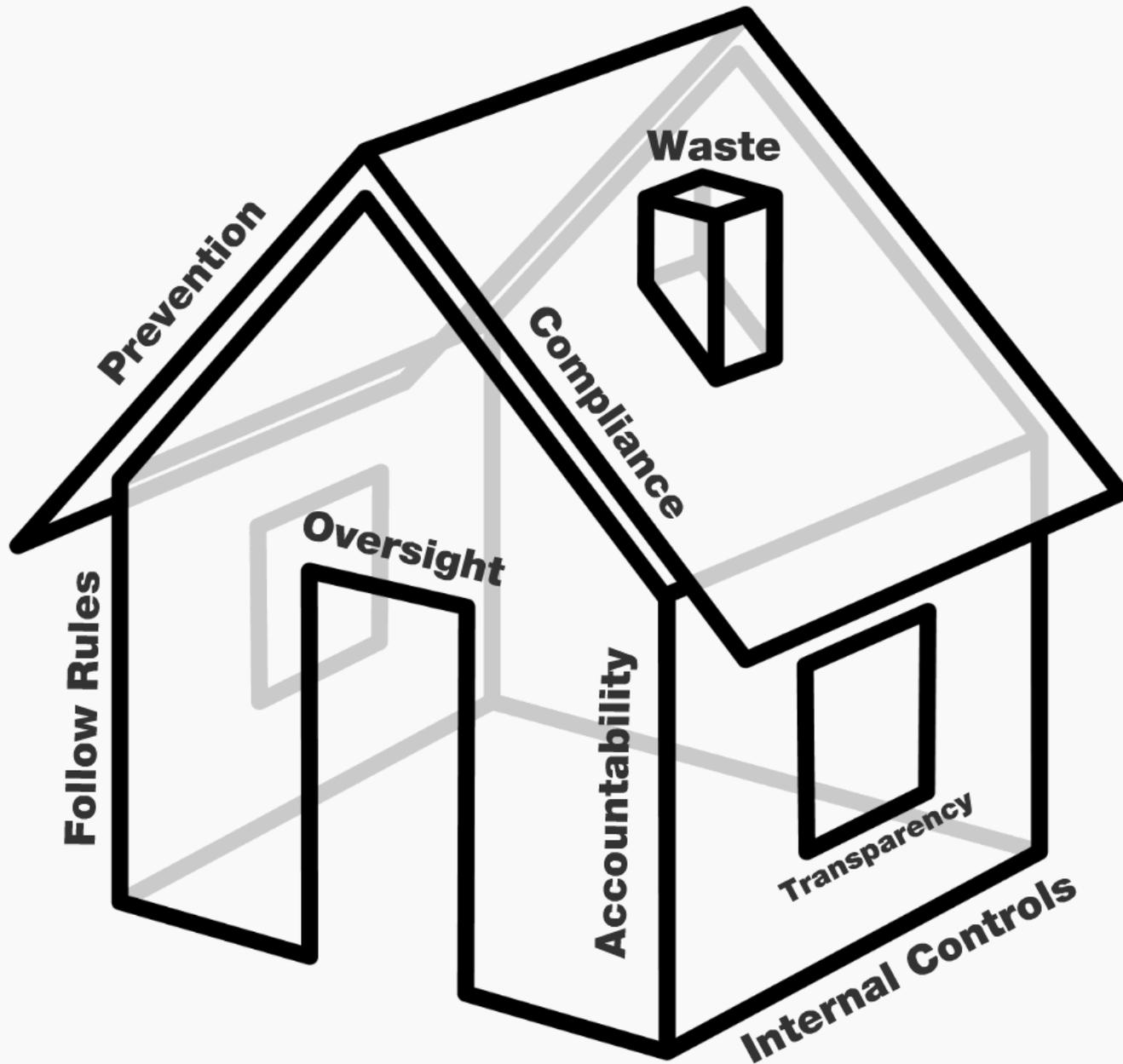
- **Address improper payments**
- **Correct problems**
- **Fraud vs. Improper Payments  
(Executive Order 13520, July  
2010)**





# **Accountability**

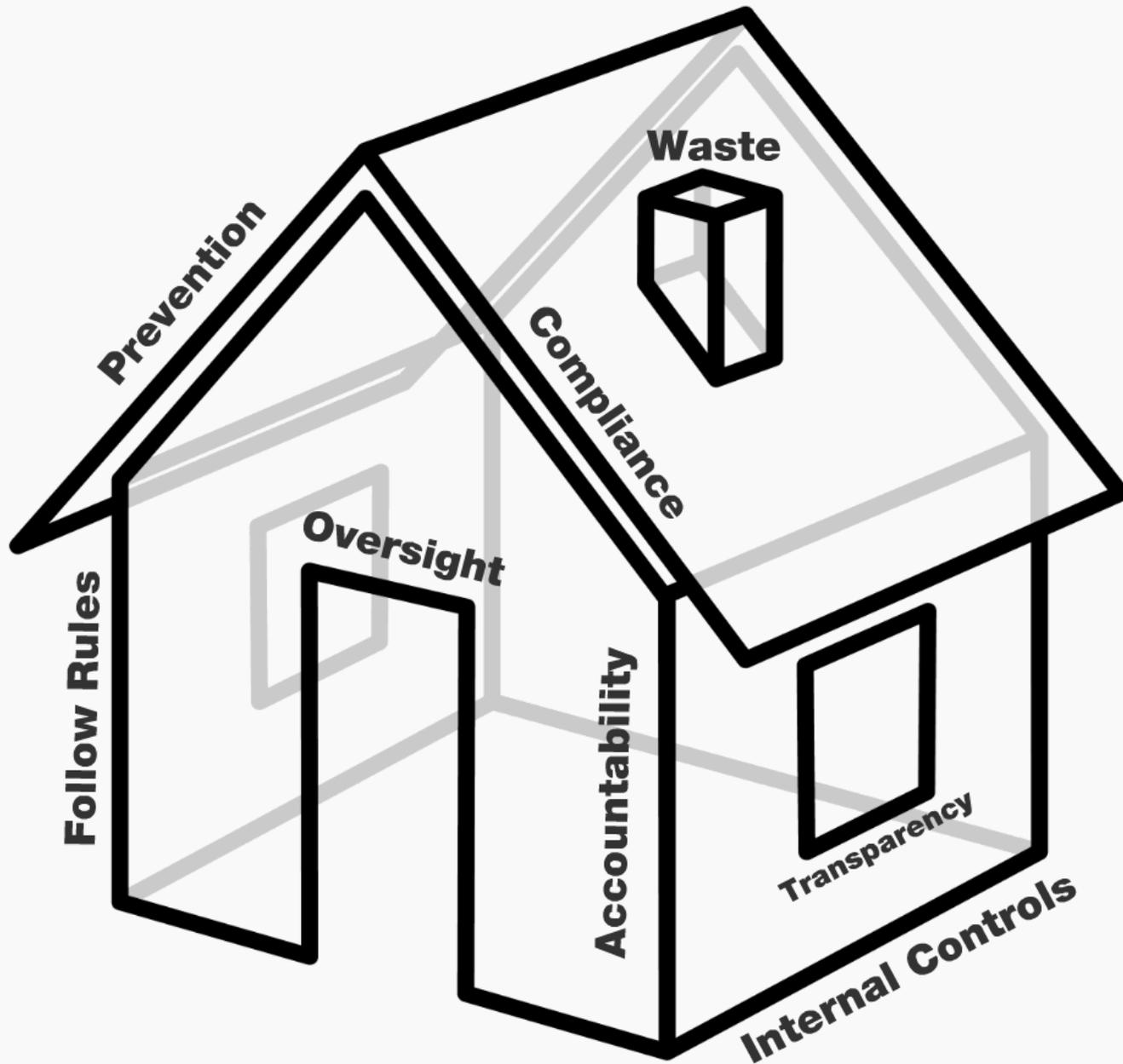
- **Compliance at all levels**
- **Consequences for noncompliance**
- **Examples: corporate integrity agreements, exclusion, suspension, debarment, civil monetary penalties**



# Prevention

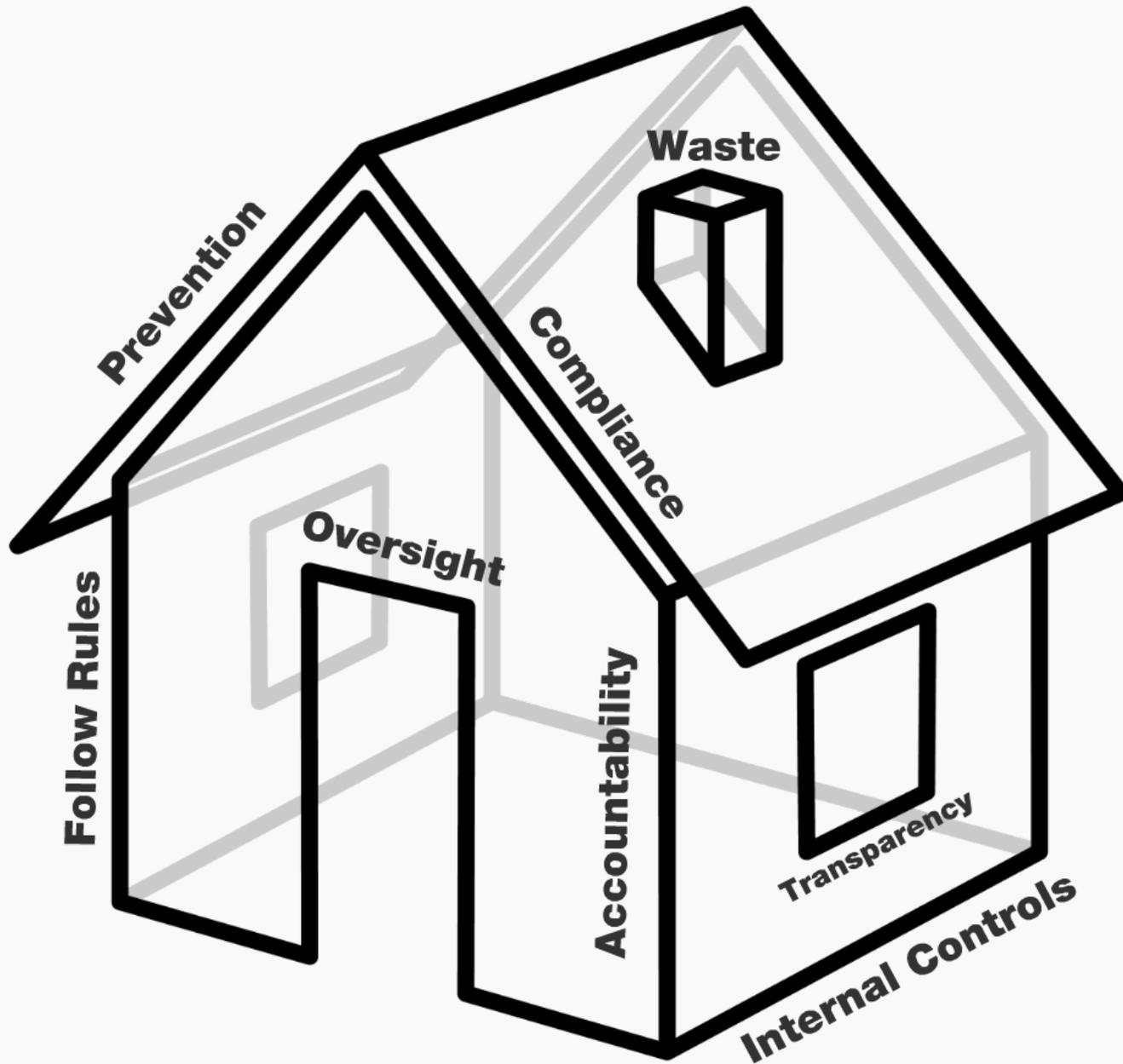
- **Identify problems upfront**
- **Innovative techniques**
- **Avoid "pay and chase"**





## **Compliance**

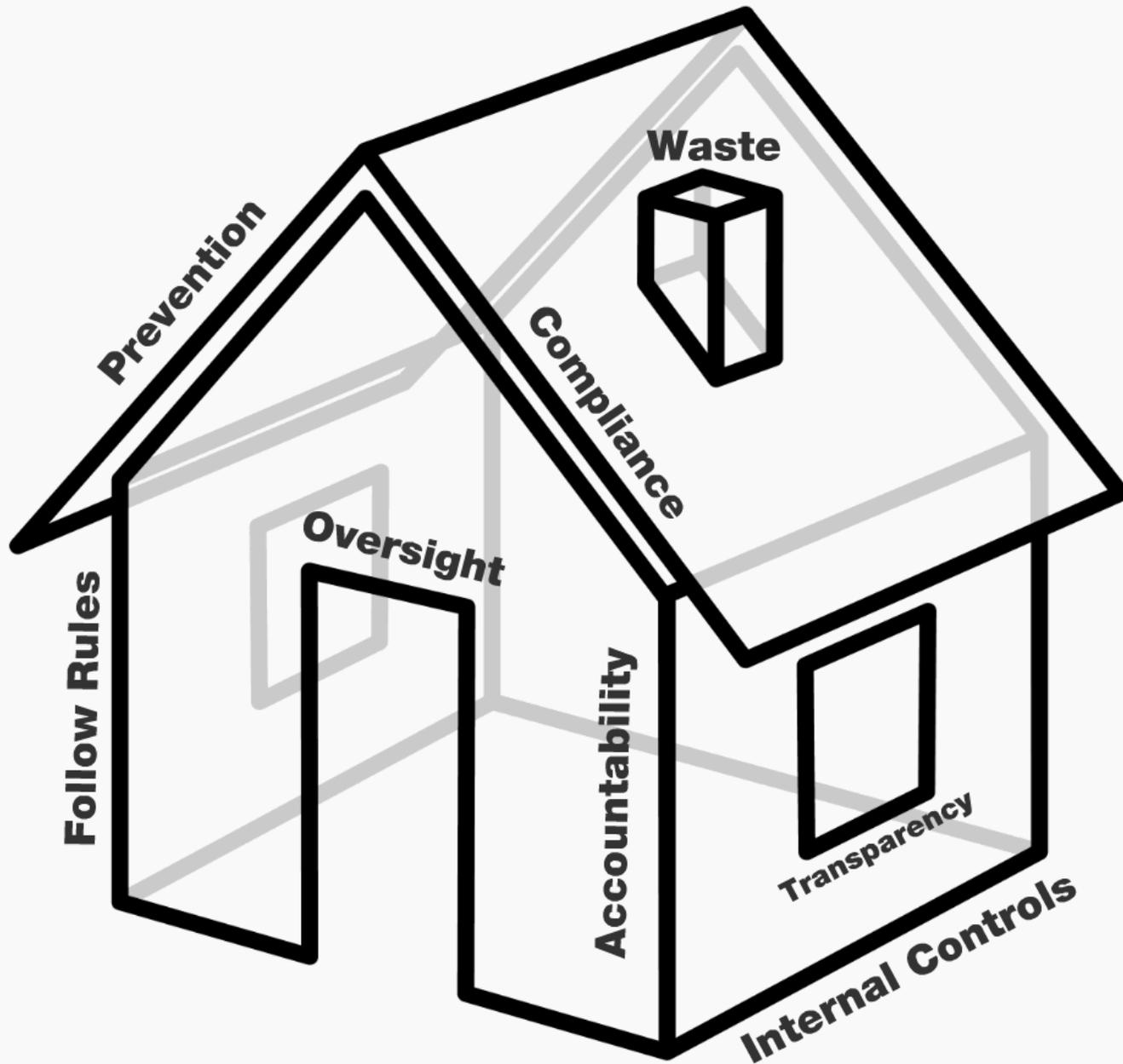
- **Culture of Compliance**
- **Training**
- **Examples: OIG compliance program guidances  
Reports on compliance**



# Transparency

- **Operations viewed by stakeholders**
- **Awareness of current initiatives**
- **Examples: GAT Board  
PaymentAccuracy.gov**

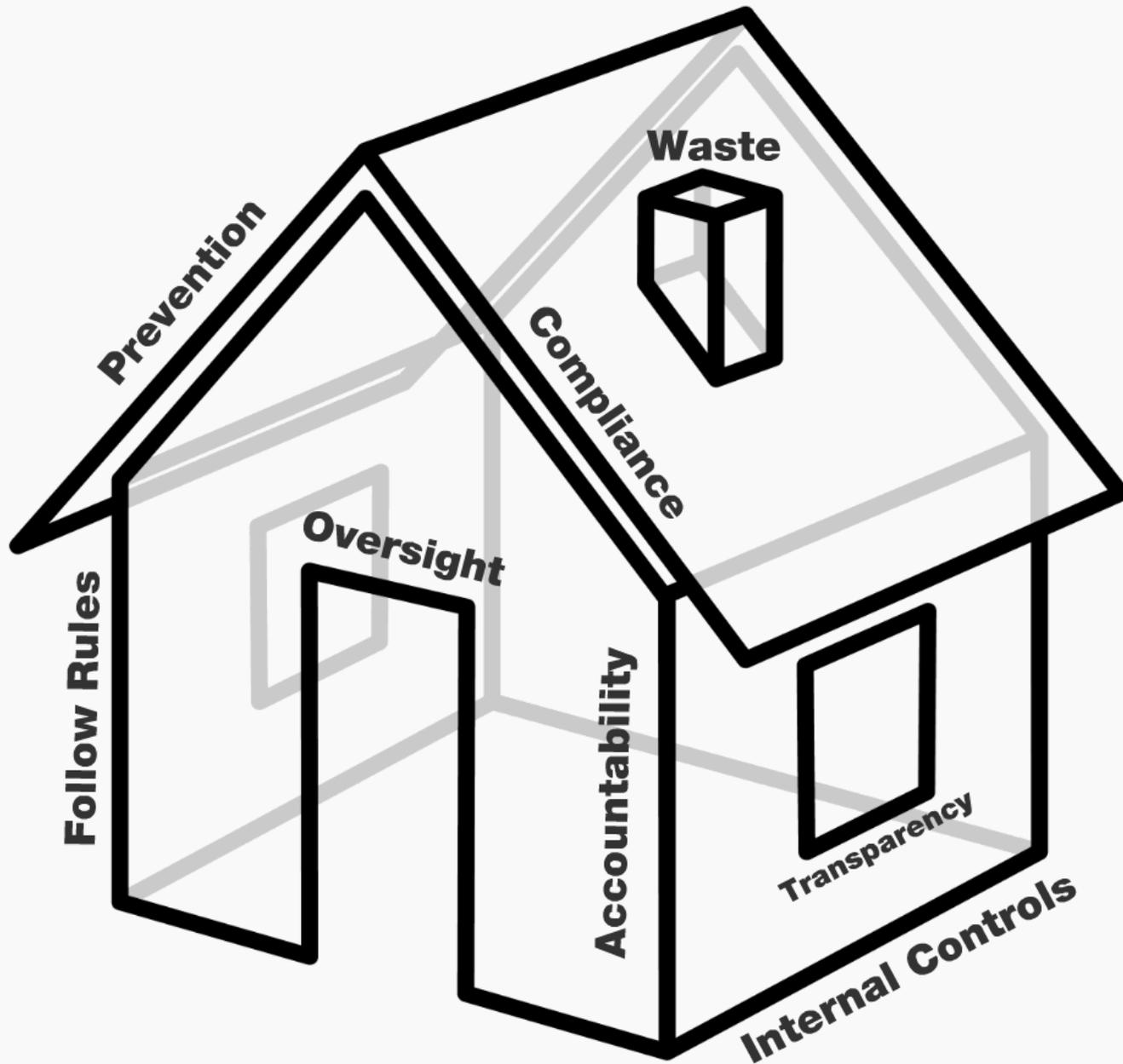




# Waste

- **Identify and Eliminate**
- **Economy, Efficiency, and Effectiveness**
- **Inspectors General Can Help!**
- **Example: Financial Statement Audits**

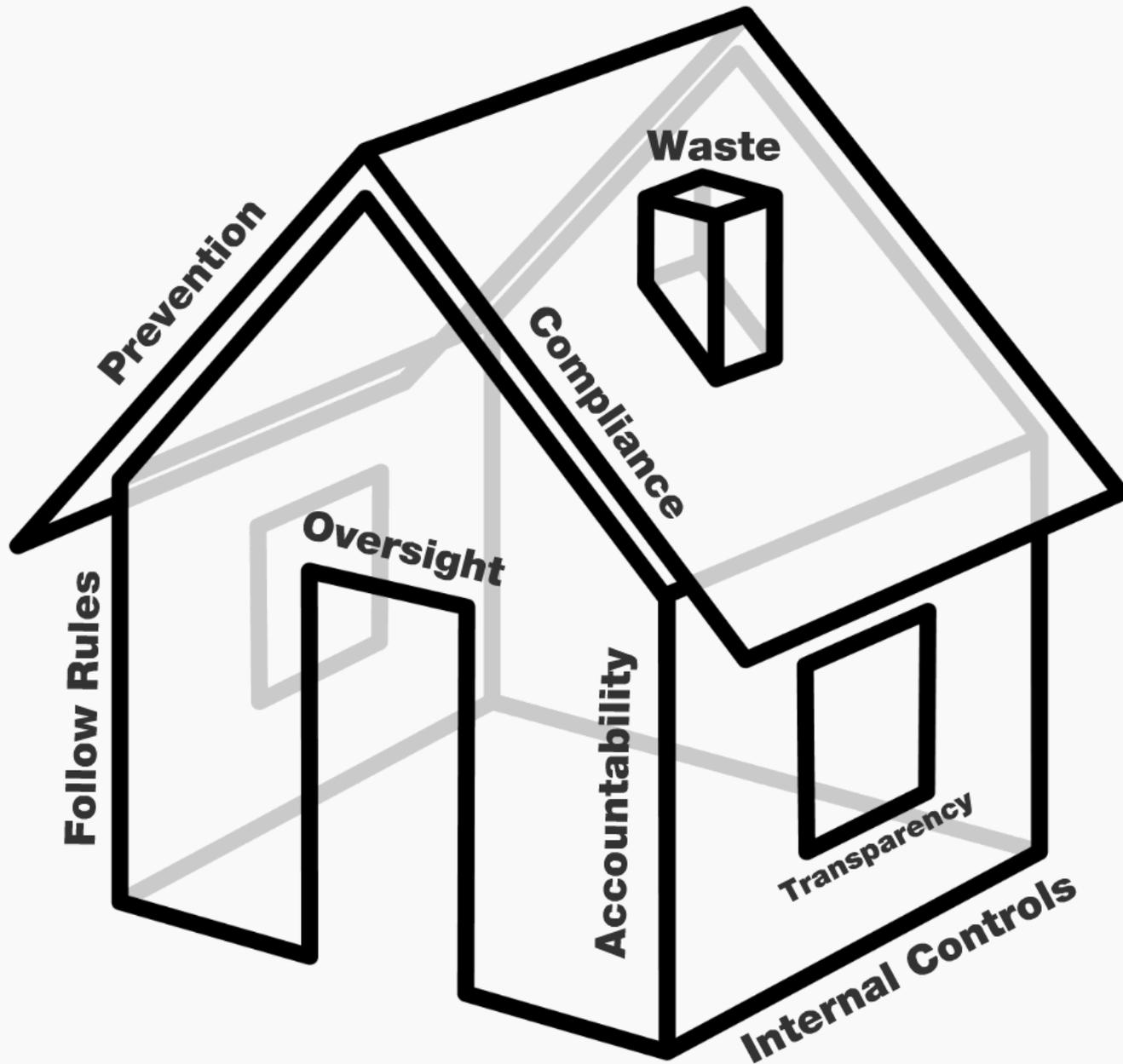




# Oversight

**Your activities are being monitored!**  
**(Congress, Administration, IGs, Public)**







# Thank You

**[www.oig.hhs.gov](http://www.oig.hhs.gov)**  
**Twitter: OIGatHHS**

