

8th PCIE Hurricane Katrina Report: To Date As of 02-24-06 (includes additional reporting period 01-28-06 to 02-24-06)

	DHS	DOC	DOD	DOE(3)	DOI	DOJ	DOL	DOT	ED	EPA	GSA	HHS	HUD	NASA	SBA	SSA	TIGTA	USDA	USPS	VA	TOTAL
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Section 01. OIG STAFF ASSIGNED

A. Full-Time Equivalent																					
1. Administrative	3.50	-	0.03	-	-	-	-	-	-	-	-	1.00	1.00	1.00	-	-	-	2.00	-	-	8.53
2. Auditors	57.50	3.00	22.14	3.00	6.00	3.50	23.00	19.00	18.00	19.50	5.50	32.00	6.00	8.00	11.00	3.00	57.00	44.00	28.00	5.00	374.14
3. Consultants/Contractors	3.00	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	3.00
4. Inspectors	10.00	-	-	-	-	-	-	-	-	-	-	8.00	-	-	-	-	-	-	-	-	18.00
5. Investigators	25.00	-	0.16	-	1.25	0.50	11.00	2.00	-	3.00	1.00	3.00	18.00	2.00	1.00	8.00	-	3.00	7.00	1.00	86.91
6. Other (e.g. Technical, Specialists)	-	-	0.74	-	-	-	-	-	-	1.50	-	-	6.00	-	-	-	-	-	3.00	-	11.24
Total	99.00	3.00	23.07	3.00	7.25	4.00	34.00	21.00	18.00	24.00	6.50	44.00	31.00	11.00	12.00	11.00	57.00	49.00	38.00	6.00	501.82

Section 02. CONTRACT: AGENCY ACTIONS

A. # Contracts Awarded																						
1. <= \$500K	2,420	59	-	-	551	45	4	57	-	151	1,306	134	13	26	-	12	21	278	24	-	5,101	
2. > \$500K (1)																						
a. Full and Open Competition	142	2	see DoD comments	-	2	-	-	7	-	63	42	23	1	5	-	1	-	46	2	-	336	
b. "Limited" Competition	1	-		-	1	-	-	2	-	-	19	-	2	-	-	-	-	-	-	-	-	25
c. No Bid/Sole Source	410	-		-	1	2	2	6	-	12	145	6	4	2	-	-	-	-	-	3	-	593
d. Other	178	-		-	1	2	-	-	-	-	10	-	-	-	-	-	-	-	-	-	-	191
Sub-Total of > \$500K (1)	731	2	-	-	5	4	2	15	-	75	216	29	7	7	-	1	-	46	5	-	1,145	
Total # Contracts Awarded	3,151	61	-	-	556	49	6	72	-	226	1,522	163	20	33	-	13	21	324	29	-	6,246	

B. \$ Value Contracts Awarded (in thousands)																					
1. <= \$500K	\$ 209,659	\$ 5,400	\$ -	\$ -	\$ 10,310	\$ 1,624	\$ 2,712	\$ 7,030	\$ -	\$ 10,525	\$ 75,757	\$ 12,179	\$ 980	\$ 4,109	\$ -	\$ 1,083	\$ 298	\$ 28,798	\$ 2,176	\$ -	\$ 372,640
2. > \$500K (1)	\$ 4,875,037	\$ 1,200	\$ 2,774,623	\$ -	\$ 3,781	\$ 6,799	\$ 2,048	\$ 311,303	\$ -	\$ 202,496	\$ 544,913	\$ 77,651	\$ 16,700	\$ 83,516	\$ -	\$ 600	\$ -	\$ 63,205	\$ 6,173	\$ -	\$ 8,970,045
Total \$ Value of Contracts Awarded	\$ 5,084,696	\$ 6,600	\$ 2,774,623	\$ -	\$ 14,091	\$ 8,423	\$ 4,760	\$ 318,333	\$ -	\$ 213,021	\$ 620,670	\$ 89,830	\$ 17,680	\$ 87,625	\$ -	\$ 1,683	\$ 298	\$ 92,003	\$ 8,349	\$ -	\$ 9,342,685

Section 03. CONTRACT: OIG REVIEWS

A. # Of Contract Reviews																					
1. In-Process	70	1	8	-	-	-	6	1	-	76	144	10	2	11	-	-	-	-	3	1	333
2. Completed	86	-	1	-	-	-	-	-	-	28	-	-	-	22	-	-	-	-	-	-	137
Total	156	1	9	-	-	-	6	1	-	104	144	10	2	33	-	-	-	-	3	1	470

B. \$ Value of Reviews (in thousands)																					
1. In-Process	\$ 2,379,430	\$ 6,600	\$ 2,303,872	\$ -	\$ -	\$ -	\$ 4,760	\$ 241,974	\$ -	\$ 138,550	\$ 465,608	\$ 35,136	\$ 18,400	\$ 9,708	\$ -	\$ -	\$ -	\$ -	\$ 8,349	\$ 709,440	\$ 6,321,827
2. Completed	\$ 1,198,427	\$ -	\$ 249,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 61,170	\$ -	\$ -	\$ -	\$ 77,917	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,586,514
Total	\$ 3,577,857	\$ 6,600	\$ 2,552,872	\$ -	\$ -	\$ -	\$ 4,760	\$ 241,974	\$ -	\$ 199,720	\$ 465,608	\$ 35,136	\$ 18,400	\$ 87,625	\$ -	\$ -	\$ -	\$ -	\$ 8,349	\$ 709,440	\$ 7,908,341

Section 04. PURCHASE CARD: AGENCY TRANSACTIONS

A. Total \$ spent to date (in thousands)																					
	\$ 22,687	\$ 289	see DoD comments	\$ -	\$ 12,965	\$ 8,626	\$ 540	\$ 365	\$ -	\$ 1,437	\$ 107	\$ 2,175	\$ -	\$ 135	\$ -	\$ 224	\$ 64	\$ 20,061	\$ 327	\$ -	\$ 70,002

Section 05. PURCHASE CARD: OIG REVIEWS

A. % of PCard Transaction \$s reviewed to date																					
	24%	0%	see DoD comments	-	0%	0%	0%	0%	0%	51%	0%	0%	-	100%	-	0%	0%	0%	100%	-	

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	DHS	DOC	DOD	DOE(3)	DOI	DOJ	DOL	DOT	ED	EPA	GSA	HHS	HUD	NASA	SBA	SSA	TIGTA	USDA	USPS	VA	TOTAL
Section 06. NON-CONTRACT: OIG REVIEWS																					
A. # Grant Reviews																					
1. In-Process	21	1	-	-	-	1	2	5	7	-	-	1	-	-	-	-	-	-	-	-	38
2. Completed	7	-	-	-	-	-	2	-	-	-	-	-	-	-	-	-	-	-	-	-	9
B. # Mission Assignment Reviews																					
1. In-Process	2	-	3	1	-	-	-	3	-	3	1	-	-	2	-	1	-	2	-	-	18
2. Completed	-	-	-	-	-	-	-	2	-	-	-	-	-	-	-	-	-	-	1	-	3
C. # Other Non- Contract Reviews																					
1. In-Process	13	-	11	-	1	-	6	1	-	-	1	3	-	-	2	-	10	8	6	1	63
2. Completed	5	-	-	1	-	-	1	1	-	-	-	-	-	-	2	-	-	-	1	-	11
Section 07. OIG REPORTING																					
A. # Reports Issued	18	-	1	1	-	-	3	2	-	1	1	-	-	-	-	-	-	-	1	-	28
B. Reported \$ Value (in thousands)																					(in thousands)
1. Questioned Costs	\$ -	-	\$ -	\$ -	-	-	\$ -	\$ -	-	\$ -	\$ -	-	-	-	-	-	-	-	\$ -	-	\$ -
(a). Unsupported Costs	\$ -	-	\$ -	\$ -	-	-	\$ -	\$ 33,292	-	\$ -	\$ -	-	-	-	-	-	-	-	\$ -	-	\$ 33,292
2. Funds Put to Better Use	\$ 2,500	-	\$ -	\$ -	-	-	\$ -	\$ -	-	\$ -	\$ -	-	-	-	-	-	-	-	\$ -	-	\$ 2,500
Section 08. OIG CONGRESSIONAL RESPONSES																					
A. # Congressionals Received	18	-	7	-	-	-	-	-	-	-	-	1	1	-	1	1	-	-	1	-	30
B. # OIG Congressional Responses																					
1. In-Process	7	-	6	-	-	-	-	-	-	-	-	1	1	-	-	-	-	-	-	-	15
2. Completed	11	-	1	-	-	-	-	-	-	-	-	-	-	-	1	1	-	-	1	-	15
Section 09. OIG INVESTIGATIVE STATISTICS																					
A. # Hotline Complaints (2)	4,053	1	8,963	1	-	-	10	1	-	1	-	2	69	-	10	21	-	-	46	-	13,178
B. # Cases Opened	413	-	5	-	1	6	31	4	-	6	2	16	13	11	3	14	2	6	2	-	535
C. # Arrests	104	-	1	-	-	-	8	2	-	-	-	-	6	5	-	3	1	2	-	-	132
D. # Indictments	121	-	-	-	-	-	7	3	-	-	-	-	5	1	-	8	1	2	-	-	148
E. # Convictions	30	-	-	-	-	-	1	1	-	-	-	-	-	-	-	-	-	1	-	-	33
LEGEND:																					
(1) DOD = over \$1 million																					
(2) DOD = # complaints received on Katrina Hotline; Other Agencies = # complaints received on Agency's OIG																					
(3) DOE = To date, DOE has received only a small portion of the funds allocated for hurricane relief-related activities. Future efforts of the OIG will depend on the amount of money the Department receives and how those funds are used.																					

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Section 01. OIG STAFF ASSIGNED - Managers are reported within the appropriate staff category

Agency:	Comment/Footnote:
DOC	Denver Regional Office of Audits
DoD	FTEs are understated for the following reasons: AFAA-The number of FTEs reported does not include AFAA. We could not obtain FTE data from AFAA in time for submission. DCAA-The number of FTEs reported does not include DCAA. We could not obtain FTE data from DCAA in time for submission. Hotline-Only a portion of FTEs from the DoD Hotline are captured.
DOI	Decrease in administrative and increase in auditor reflects a correction to the 7th PCIE report consolidation - the report should have reflected DOI's submitted data of 6 auditors.
EPA	Auditors and Program Evaluators are combined under the "Auditor" category. Also included is the Auditor working on the DoD Hotline.
GSA	The 5.5 FTE for auditors include a GS-14, 3 GS-13, and 1 GS-12 assigned to the audit full-time as well as a GS-15 and a GS-13 part-time. The 1 FTE for investigators includes 2 part-time investigators.
HUD	Other: The 6 specialists (other) listed are forensic auditors assigned to the Office of Investigations.
USPS	Auditors include 6 managers; Investigators include 2 managers.

Section 02. CONTRACT: AGENCY ACTIONS

Agency:	Comment/Footnote:
DoD	02 A. and B. The Total \$ Value of Contracts Awarded is \$2,774,623,433, or \$2,774,623 (in thousands). This amount is through FY 2005, per OSD Acquisition, Technology, and Logistics. We cannot determine how many contracts are included in this overall number, nor can we determine individual contract values. The DD350 is locked until the end of February. After the database is unlocked, we will work to obtain better numbers for the next reporting cycle.
DOI	The total \$ value of contract awarded excludes those transactions completed by purchase cards. This figure also includes transactions completed by DOI for other agencies.
DOT	These numbers were reported by DOT management and have not been verified or audited by the OIG. 02 B. Dollar amounts revised downward because the department is now reporting actual invoiced amounts, versus estimated amounts, for its emergency transportation services contract.
EPA	02 A. Number of Contracts awarded include new awards and other actions on existing contracts such as delivery and purchase orders.
GSA	Under Emergency Support Function 7 (ESF-7), GSA awards contracts on behalf of FEMA and FEMA is responsible for the receiving the orders and paying the contractor directly. As these contracts do not affect GSA financially, they are not input into any of GSA's procurement or financial systems. As GSA has no central data repository for these awards, we are using data from the Federal Procurement Data System as the basis for reporting as it is the only data that includes the data elements being reported. However, this data may include GSA procurements in addition to the procurements made in support of FEMA under ESF-7. Some adjustments to the data have been made to the FPDS data to provide a better assessment. For example, FPDS line items with \$0 and negative amounts were removed from counts of contract actions (but were included in "\$ Value Contracts Awarded") as these are primarily modifications to contract actions already being reported.
NASA	02 B. \$ Value reflects a decrease due to a \$7.5 million de-obligation of a portion of a contract where services are no longer needed for Katrina work.
SSA	02 A. The decrease in number of contracts <= \$500K reflects a correction for contracts that were previously double counted.
TIGTA	02 B. In TIGTA's previous submission, the IRS reported to TIGTA that it had approximately \$861,000 in awarded procurement actions. After subsequent discussions about these awards, the IRS informed us that it had made an error in its reporting by including the total amount of an award when only certain line items of the award pertained to Katrina work. When the reports were re-run, the Katrina awards significantly decreased from approximately \$861,000 to approximately \$298,000.
USPS	Found 4 facilities contracts that were not originally listed on the supply management list.

Section 03. CONTRACT: OIG REVIEWS

Agency:	Comment/Footnote:
DHS	03 A. and B. Decrease reflects contract changes and correction of prior reporting.
DOC	DOC is conducting one overall review of the reported Total # of Contracts Awarded. Audit report in process on DOC Katrina contract and grant awards.
GSA	The GSA OIG is performing a programmatic review that looks at GSA's support for FEMA in its entirety, including GSA's procurements for FEMA under ESF-7. This review will include examining the procurements for price reasonableness, the level of competition used for the award, and management controls related to the procurement award. In accordance with guidelines from the PCIE Katrina Audit Task Force, all contract actions over \$500,000 are being reviewed. These contracts were awarded by GSA under ESF-7 at the joint field offices (JFO) in Jackson, MS and Baton Rouge, LA as well as in Atlanta, GA; Chicago, IL, and Ft. Worth, TX. These contracts were identified primarily from procurement "logs" kept at the contracting locations.
HHS	03 A. and B. Decrease reflects correction of prior reporting.
HUD	Noted - the HUD OIG is performing 2 audits one external audit and one internal audit. The external audit involves a M&M contractor (\$1.1 mil) with the objective of evaluating the reasonableness of subcontractor costs. The internal audit will look at all disaster contract dollars (\$17.6 mil) to ensure that effective contracting practices are being used. The M&M contract amt is included in the total contract amt, but the reviews are separate and have different objectives. However, because of this overlapping, the total of these reviews is \$18.4 mil which exceeds the total contract dollars of \$17.6 mil because of the \$ value of the individual reviews.
NASA	03 B. \$ Value reflects a decrease due to a \$7.5 million de-obligation of a portion of a contract where services are no longer needed for Katrina work.
USPS	Conducting 3 reviews that cover 100% of the Total \$ Value of Contracts Awarded - added one review based on finding 4 facilities contracts not listed on the supply management list.
VA	VA is reviewing a sole source "contract" that was not awarded, so it is not disclosed in Section 02.

8th PCIE Hurricane Katrina Report: To Date As of 02-24-06 (includes additional reporting period 01-28-06 to 02-24-06)

Section 04. PURCHASE CARD: AGENCY TRANSACTIONS

DoD	A total universe cannot be derived for purchase card transactions related to Hurricane Katrina.
DOI	The purchase card total is a total for all "charge cards - travel and purchase".
DOT	These numbers were reported by DOT management and have not been verified or audited by the OIG
GSA	Under the procurement flexibilities allowed for contracting in response to the Hurricane Katrina, GSA raised the procurement ceiling for charge cards to \$15,000 and only for a limited number of cardholders. Generally, GSA does not utilize purchase cards to make procurements in support of FEMA. The data reported is based on the FPDS database.

Section 05. PURCHASE CARD: OIG REVIEWS

Agency:	Comment/Footnote:
DoD	We cannot determine the percentage of purchase card transactions reviewed without having a total universe.
DOT	OIG is currently researching this issue area.
GSA	There have been no reviews to date.

Section 06. NON-CONTRACT: OIG REVIEWS

Agency:	Comment/Footnote:
DOC	Audit report in process on DOC Katrina contract and grant awards.
DoD	Although 3 Mission Assignment Reviews are in Process, each review may encompass multiple mission assignments.
GSA	The GSA OIG is performing a programmatic review that looks at GSA's support for FEMA in its entirety. This review will include a look at GSA's procurements for FEMA under ESF-7, charges against mission assignments, as well as management controls and processes internal to GSA.
HHS	06 B. and C. Decrease reflects correction of prior reporting.
USPS	Although these reviews are not mission assignments as defined by DHS, these reviews are assessments of mission essential functions for the USPS.

Section 07. OIG REPORTING

Agency:	Comment/Footnote:
DoD	NAS-1 Report Issued: Chartered Cruise Ships (Audit Report N2006-0015), 16 FEB 06
GSA	The GSA OIG has issued an interim audit memorandum regarding standard operating procedures for GSA.

Section 08. OIG CONGRESSIONAL RESPONSES

Agency:	Comment/Footnote:
DoD	OIG: 7 Congressionals received includes 6 audit requests and 1 testimony. 1 Completed Congressional is a request that was transferred to DHS. Considered completed from DoD OIG standpoint because responsibility for the congressional was transferred to DHS. The Congressional data that is reported is cumulative.

Section 09. OIG INVESTIGATIVE STATISTICS

Agency:	Comment/Footnote:
DoD	OIG: The Hotline Statistics are cumulative. Cases Opened refers to DCIS Investigations.