



Council of the
INSPECTORS GENERAL
on INTEGRITY and EFFICIENCY

December 28, 2017

The President
The White House
Washington, DC 20500-0000

Dear Mr. President:

Section 11(d)(9) of the Inspector General Act of 1978, as amended, requires the Council of the Inspectors General on Integrity and Efficiency (CIGIE) to submit to the President and the Congress an annual report on the activities of the CIGIE Integrity Committee (IC). Enclosed is the report of the IC Chairperson, summarizing the IC activities for fiscal year 2017. The report provides information on the number, status, and disposition of complaints received by the IC during this period.

Thank you for your continued support for the work of the Inspector General community. Should your staff wish to receive any additional information concerning this report or any other CIGIE activity, please have them contact me at (202) 514-3435.

Respectfully,

for
Michael E. Horowitz
Chairperson

Enclosure

cc: Mr. Dustin Brown, Acting Executive Chairperson
Council of the Inspectors General on Integrity and Efficiency



Council of the
INSPECTORS GENERAL
on INTEGRITY and EFFICIENCY

December 28, 2017

The Honorable Ron Johnson
Chairman, Committee on Homeland Security
and Governmental Affairs
United States Senate
340 Dirksen Senate Office Building
Washington, DC 20510-6250

The Honorable Claire McCaskill
Ranking Member, Committee on Homeland Security
and Governmental Affairs
United States Senate
340 Dirksen Senate Office Building
Washington, DC 20510-6250

Dear Mr. Chairman and Ranking Member McCaskill:

Section 11(d)(9) of the Inspector General Act of 1978, as amended, requires the Council of the Inspectors General on Integrity and Efficiency (CIGIE) to submit to the Congress and the President an annual report on the activities of the CIGIE Integrity Committee (IC). Enclosed is the report of the IC Chairperson, summarizing the IC activities for fiscal year 2017. The report provides information on the number, status, and disposition of complaints received by the IC during this period.

Thank you for your continued support for the work of the Inspector General community. Should your staff wish to receive any additional information concerning this report or any other CIGIE activity, please have them contact me at (202) 514-3435.

Sincerely,

for
Michael E. Horowitz
Chairperson

Enclosure

cc: Mr. Dustin Brown, Acting Executive Chairperson
Council of the Inspectors General on Integrity and Efficiency



Council of the
INSPECTORS GENERAL
on INTEGRITY and EFFICIENCY

December 28, 2017

The Honorable Trey Gowdy
Chairman, Committee on Oversight
and Government Reform
U.S. House of Representatives
2157 Rayburn House Office Building
Washington, DC 20515-6143

The Honorable Elijah Cummings
Ranking Member, Committee on Oversight and
and Government Reform
U.S. House of Representatives
2157 Rayburn House Office Building
Washington, DC 20515-6143

Dear Mr. Chairman and Ranking Member Cummings:

Section 11(d)(9) of the Inspector General Act of 1978, as amended, requires the Council of the Inspectors General on Integrity and Efficiency (CIGIE) to submit to the Congress and the President an annual report on the activities of the CIGIE Integrity Committee (IC). Enclosed is the report of the IC Chairperson, summarizing the IC activities for fiscal year 2017. The report provides information on the number, status, and disposition of complaints received by the IC during this period.

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Sincerely,

for
Michael E. Horowitz
Chairperson

Enclosure

cc: Mr. Dustin Brown, Acting Executive Chairperson
Council of the Inspectors General on Integrity and Efficiency



Integrity Committee

Council of the Inspectors General on Integrity and Efficiency

1717 H Street, NW, Suite 825, Washington, DC 20006 ▪ Integrity-Complaint@cigie.gov

Scott Dahl
Chairperson
Inspector General
Department of Labor

December 26, 2017

Michael Horowitz
Chairperson
Council of the Inspectors General on Integrity and Efficiency
1717 H Street, N.W.
Suite 825
Washington, D.C. 20006

Re: Fiscal Year 2017 Annual Report to Congress and the President

Dear Mr. Horowitz:

This letter provides the annual report of the Integrity Committee (IC) activities over the last fiscal year as required by section 11(d)(9) of the Inspector General Act of 1978, as amended, 5 U.S.C. app. (IG Act).

The IG Act directs the IC to address allegations of serious misconduct about Inspectors General and their designated employees. The IC submits the following information concerning the allegations it received and / or addressed during Fiscal Year (FY) 2017, from October 1, 2016 to September 30, 2017:

IC Overview for Allegations Received in FY 2017. The IC received a total of 59 allegations.

- The IC received 39 allegations within its purview.
 - The IC closed 33 with no referral for investigation or to another agency.
 - The IC referred three to another agency and closed.
 - The IC referred two to the IC Chairperson for investigation.
 - The IC had one allegation pending review at the end of FY 2017.
- The IC received 20 allegations outside its purview.
 - The IC closed 17 with no referral for investigation or to another agency.
 - The IC referred three to another agency and closed.

IC Closures with Referral in FY 2017. The IC referred and closed a total of six allegations to another agency.

IC Closures without Referral in FY 2017. The IC closed without referral a total of 50 allegations.

The Integrity Committee is composed of four Inspectors General and executives from the Federal Bureau of Investigation and the Office of Government Ethics.

IC Investigations in FY 2017. The IC conducted a total of six investigations.

- Three investigations were closed in FY 2017 from allegations received in FY 2016. These allegations are not counted in the IC Overview for Allegations Received in FY 2017.
 - The IC completed one investigation (IC841).
 - The IC referred one case under investigation to the Department of Justice.
 - The IC referred one case under investigation to the Office of the Special Counsel.
- One investigation was initiated in FY 2017 from an allegation received in FY 2016, but the investigation was pending completion at the end of FY 2017. This allegation is not counted in the IC Overview for Allegations Received in FY 2017.
- Two investigations were initiated from allegations received in FY 2017.
 - The IC completed one investigation (IC880) and closed.
 - The IC had one investigation pending completion at the end of FY 2017.

The attached enclosures provide additional information for dissemination to Congress and the President.

Sincerely,



Scott Dahl
Chairperson
Integrity Committee

Enclosures: 1. Investigation Summaries
2. Allegations Made to the Integrity Committee in Fiscal Year 2017

Enclosure 1: Investigation Summaries

Summaries for Investigations Completed in Fiscal Year 2017

IC841: Department of Commerce (DOC) Office of Inspector General (OIG):

In December 2015, the Integrity Committee (IC) received a complaint alleging a covered person (subject) directed an OIG employee in 2014 to create a memorandum regarding a matter personal to the subject. The subject allegedly reviewed and edited the memorandum, then directed the employee to provide the final copy. The subject allegedly then later removed a copy of this document from the DOC OIG without authorization and improperly presented it in a court proceeding regarding a personal matter.

The IC determined to initiate an investigation, and obtained the Department of Housing and Urban Development (HUD) OIG's assistance in the matter. The HUD OIG accepted the investigation in April 2016, and completed its work in November 2016. The HUD OIG substantiated the allegation, at which time the subject requested, and was granted, additional time by the IC to respond to the report of investigation.

In May 2017, the IC met to consider the matter. The IC determined that the facts set forth in the report of investigation were supported by a preponderance of the evidence. The IC concluded that the subject engaged in substantial misconduct that undermines the integrity reasonably expected of a senior OIG official, based exclusively on the subject's lack of candor to the HUD OIG investigators and in the subject's statements to the IC. The IC recommended the imposition of appropriate discipline.

IC880: General Services Administration OIG:

In February 2017, the IC received a complaint alleging the subject misused his or her position to initiate an investigation, and wrongfully failed to recuse from the matter as it involved an individual with whom the subject had a close personal relationship.

Upon review of the subject's response to the allegations, the IC concluded the subject may have intentionally misled the IC in the subject's response to the allegation. The IC determined to initiate an investigation, and obtained the Department of Agriculture OIG's assistance in the matter. However, prior to the completion of the investigation, in August 2017, the IC was informed the subject retired from Federal service. After weighing the public's interest in continuing the matter, the IC determined to close the investigation administratively.

On-going Investigations from Fiscal Year 2017

There were two on-going investigations at the end of FY 2017, which were initiated based on complaints submitted in August 2016 and May 2017. The IC does not provide substantive information on pending investigations.

Enclosure 2: Allegations Made to the IC in Fiscal Year 2017
Complaints within IC Purview

IC#	Date Received	Date Disposed	Referred to Another Agency	Request for Response	Investigation	Does not Meet Threshold Standard
871	10/24/2016	1/26/2017	-	Yes	-	-
872	10/25/2017	2/16/2017	-	Yes	-	-
873	10/25/2016	1/26/2017	-	Yes	-	-
874	11/2/2016	11/17/2016	-	-	-	Yes
875	10/14/2016	11/17/2016	-	-	-	Yes
876	12/28/2016	1/26/2017	-	-	-	Yes
877	12/28/2016	1/26/2017	-	-	-	Yes
878	1/31/2017	2/16/2017	-	-	-	Yes
879	1/31/2017	2/16/2017	-	-	-	Yes
880	2/7/2017	8/10/2017	-	-	Yes	-
881	2/10/2017	3/16/2017	-	-	-	Yes
882	2/13/2017	3/2/2017	-	-	-	Yes
883	2/17/2017	4/6/2017	-	Yes	-	-
884	3/10/2017	3/16/2017	-	-	-	Yes
885	3/10/2017	3/16/2017	-	-	-	Yes
886	3/16/2017	3/30/2017	-	-	-	Yes
887	3/27/2017	4/21/2017	-	-	-	Yes
888	4/7/2017	4/21/2017	-	-	-	Yes
889	4/7/2017	4/21/2017	-	-	-	Yes
890	3/12/2016	Pending	-	Yes	Yes	-
891	5/1/2017	7/20/2017	-	Yes	-	-
892	5/3/2017	5/12/2017	-	-	-	Yes
893	5/26/2017	6/1/2017	-	-	-	Yes
894	5/26/2017	6/1/2017	-	-	-	Yes
895	6/7/2017	6/29/2017	-	-	-	Yes
896	6/7/2017	6/29/2017	-	-	-	Yes
897	6/23/2017	6/29/2017	-	-	-	Yes
898	6/28/2017	7/20/2017	-	-	-	Yes
899	7/7/2017	8/10/2017	-	Yes	-	-
900	7/19/2017	7/20/2017	-	-	-	Yes
901	8/1/2017	10/12/2017	-	Yes	-	-
902	8/1/2017	8/10/2017	-	-	-	Yes
903	8/14/2017	8/31/2017	Yes	-	-	Yes
904	8/14/2017	8/31/2017	Yes	-	-	Yes
905	8/18/2017	8/31/2017	-	-	-	Yes
906	9/1/2017	10/12/2017	Yes	-	-	Yes
907	9/6/2017	9/20/2017	-	-	-	Yes
908	9/8/2017	10/12/2017	-	-	-	Yes
909	9/21/2017	Pending	-	-	-	-

Complaints Outside IC Purview

IC#	Date Received	Date Disposed	Referred
17-1	10/14/16	11/18/16	-
17-2	10/26/16	11/18/16	-
17-3	11/28/16	12/15/16	Yes
17-4	11/29/16	12/15/16	-
17-5	12/08/16	01/26/17	-
17-6	12/08/16	01/26/17	-
17-7	12/10/16	01/26/17	-
17-8	01/16/17	02/16/17	-
17-9	02/14/17	03/02/17	-
17-10	03/10/17	03/16/17	-
17-11	03/10/17	03/16/17	-
17-12	03/22/17	03/30/17	-
17-13	05/01/17	05/12/17	-
17-14	06/13/17	06/29/17	-
17-15	07/07/17	07/20/17	-
17-16	07/19/17	08/10/17	Yes
17-17	08/18/17	08/31/17	-
17-18	08/31/17	09/20/17	Yes
17-19	09/25/17	10/12/17	-
17-20	09/29/17	10/12/17	-